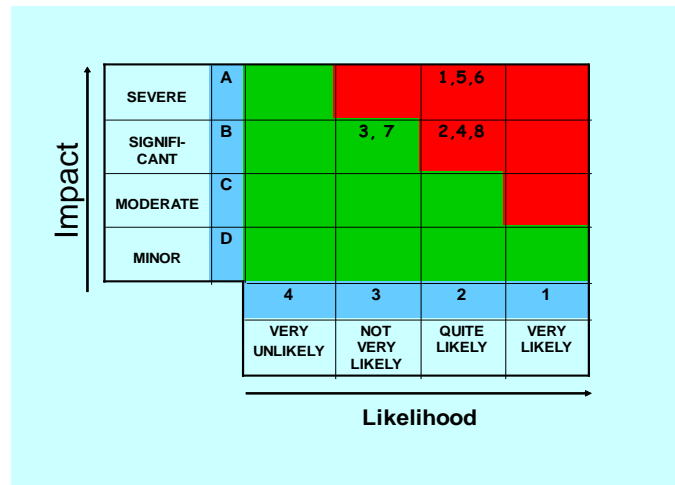


Risk Register	
East Midlands Councils	
Date Prepared:	November 2018
Prepared by:	EMC Management Team

Risk	Impact	Likelihood	Key Controls in Place	Further Action To Be Taken	Risk Owner
1. Loss of Authorities in Membership South Holland District Council on-notice	A	2	Communicating the benefits of EMC membership. Understanding local pressures; both service delivery and financial.	<ul style="list-style-type: none"> Follow-up discussion with South Holland District, building on previous discussions with Chief Executive. Political engagement with South Holland District Council. 	Management Group Executive Director
2. Lack of engagement from Members	B	2	Member engagement is part of the KPIs reported on a quarterly basis to Management Group. Group Leaders are informed of non-attendance.	<ul style="list-style-type: none"> Group Leaders' review Group nominations to Boards. EMC secretariat reminds Members of meetings and reports attendance to Group Leaders (both pre and post-meeting). 	Group Leaders Management Group
3. Lack of engagement from Staff	B	3	Regular team meeting and 1 to 1s; staff appraisals.	Full knowledge of income and expenditure profiles; and engagement in developing and rolling-out the work programme.	Management Team
4. Insufficient capacity and resilience (including staff and financial) to deliver work programme.	B	2	<ul style="list-style-type: none"> Annual KPIs to monitor progress in the delivery of the business plan. Annual staff appraisals and regular business plan planning meetings. Revised approach to delivery of work programme. 	3 month review against business plan and budget	Management Group Management Team

5. Inability to secure consultancy and other earned income to balance budget	A	2	<ul style="list-style-type: none"> ▪ Agreed rates and charges schedule in place for 2018/19. ▪ Monthly financial reporting and oversight. ▪ Proposed 2018/19 income target consistent with that delivered for 2017/18. 	<ul style="list-style-type: none"> ▪ All opportunities will continue to be explored including 'Non-local government' markets. ▪ Targeted promotion of EMC service offer. 	Management Team
6. Inability to secure grant funding to balance budget	A	2	<ul style="list-style-type: none"> ▪ 100% of grant confirmed for 2018/19 budget. ▪ New sources of income actively explored. ▪ External grant now represents 34% of EMC's total income, thereby balancing EMC sources of income although exposing organisation to vulnerability if grant reduced (potentially for reasons outside of EMC's control). 	<ul style="list-style-type: none"> ▪ All opportunities will continue to be explored, including Midlands Engine and HS2. ▪ Regular programme management and oversight to meet requirements and demonstrate value-added. 	Management Team
7. Loss of Strategic Migration Grants from Home Office	B	3	<ul style="list-style-type: none"> ▪ Regular meetings with Home Office, at both Ministerial and senior officer level. ▪ Delivery of programme milestones and wider commitments, as per Grant Agreement. ▪ Importance of asylum and refugee resettlement emphasised by additional national programmes, i.e. SVPRS, UASC. ▪ Home Office confirmed 'Enabling', SVPRS and UASC grants for 2018/19 to 2019/20. ▪ Ongoing risks to be managed for 2018/19. 	<ul style="list-style-type: none"> ▪ Ongoing dialogue with Home Office. ▪ Demonstrate value-added of partnership (supported by grant), including performance management of programme delivery. 	Management Team
8. Emerging Partnerships and local government reorganisation	B	2	<ul style="list-style-type: none"> ▪ Local government reorganisation in Northamptonshire. ▪ Advisory support and engagement with local authorities in the development of 'Strategic Alliance' and Metro Strategy proposals to support complementarity with EMC activity, e.g. TfEM. ▪ To maintain neutral position and not to take any partisan line in relation to local government re-organisation discussions. 	<ul style="list-style-type: none"> ▪ Consideration of developments by EMC Management Group and Executive Board for political guidance. 	Management Group Management Team

KEY



Some Extra Risk Management Action



No Extra Risk Management Action